

IRA Transfer/Direct Rollover Form



1-800-332-5580

Sit Mutual Funds, P.O. Box 534459, Pittsburgh, PA 15253-4459

Overnight Mail: Attention: 534459, 500 Ross Street 154-0520, Pittsburgh, PA 15262

Use this form to request an IRA transfer of assets or a direct rollover (excluding qualified rollover contributions (conversions) to a Roth IRA) from an existing retirement plan account to your IRA at Sit Mutual Funds. If you are establishing a new IRA at Sit, please complete the IRA Application. Based on your instructions, BNY Mellon Investment Servicing Trust Company will initiate the transfer or rollover for you. If you are over age 70½, you are responsible for distributing any required minimum distribution amounts from your current retirement plan account (excluding Roth IRAs) in advance of the transfer or rollover. Incomplete information will result in delays in processing your request.

If this contribution is a direct rollover from a qualified plan, 403(b), or 457 plan, I understand that by signing this form, I am acknowledging that the direct rollover contribution is an irrevocable election and is no longer eligible for special tax treatment which may be accorded to distributions from a qualified plan, 403(b), or 457 plan. Direct rollovers from a qualified plan to an IRA can only be in the form of cash.

Account Registration

Owner's Name: First, Middle Initial, Last

Daytime Telephone

SS Number/Type of Government ID and Number

Date of Birth

Street Address

City, State and Zip

Tell us the type of retirement account you currently own and the type of IRA you would like us to set up.

- Traditional or SEP IRA to a Sit Traditional IRA
- Rollover IRA to a Sit Rollover IRA
- 403(b), 457 or Qualified Plan to a Sit Rollover IRA (attach a copy of your most recent statement, if possible.)
- SIMPLE IRA (after the required 2-year holding period) to a Sit Traditional IRA
- Roth IRA to a Sit Roth IRA
- SEP IRA to a Sit SEP IRA

Asset Information (Please liquidate the assets listed below)

Certificate of Deposit

Note: We cannot accept requests to transfer assets from certificates of deposit more than 60 days before their maturity. If you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemption penalty.

1.	_____	2.	_____
	CD Account Number and Maturity Date \$ Amount or % of Account		CD Account Number and Maturity Date \$ Amount or % of Account

Mutual Fund

1.	_____	_____	_____
	Mutual Fund Name and Share Class	Account Number	\$ Amount or % of Account
2.	_____	_____	_____
	Mutual Fund Name and Share Class	Account Number	\$ Amount or % of Account

Other

1.	_____	2.	_____
	Account Number and Description \$ Amount or % of Account		Account Number and Description \$ Amount or % of Account

Name and Address of Current Custodian:

Name of Current Custodian

Contact Name and Daytime Telephone Number

Address

City, State, Zip

Investment Amount

If investing in an existing Sit IRA, please include your account number. If a new account, indicate "NEW" and enclose a completed IRA application. There is a minimum investment amount of \$2,000 per fund, except as noted.

	Account # or "New"	\$ Amount or % of Account		Account # or "New"	\$ Amount or % of Account
Large Cap Growth	002-	\$ _____	International Growth	006-	\$ _____
Mid Cap Growth	001-	\$ _____	Developing Markets Growth	011-	\$ _____
Small Cap Growth	010-	\$ _____	Balanced	009-	\$ _____
Dividend Growth Class I* (\$100,000 minimum initial investment)	015-	\$ _____	Global Dividend Growth Class I* (\$100,000 minimum initial investment)	020-	\$ _____
Dividend Growth Class S*	017-	\$ _____	Global Dividend Growth Class S*	021-	\$ _____
U.S. Government Securities	003-	\$ _____	Quality Income	022-	\$ _____
Minnesota Tax-Free Income	007-	\$ _____	Tax-Free Income	004-	\$ _____
Small Cap Dividend Growth Class I* (\$100,000 minimum initial investment)	023-	\$ _____	Small Cap Dividend Growth Class S*	024-	\$ _____
ESG Growth Class I* (\$100,000 minimum initial investment)	025-	\$ _____	ESG Growth Class S*	026-	\$ _____

*Please refer to your prospectus for details on the Dividend Growth Fund, Global Dividend Growth Fund, Small Cap Dividend Growth Fund, and ESG Growth Fund share classes.

Signature Authorizing Transfer

I authorize the transfer of assets or direct rollover as noted above to my Sit Mutual Funds IRA and authorize my current custodian, Sit Mutual Funds and BNY Mellon Investment Servicing Trust Company to process this request on my behalf. I understand it is my responsibility to insure the prompt transfer of assets or direct rollover by the current custodian. I have read and understand all information on this form and hereby provide the applicable authorization. **Your resigning custodian may require a signature guarantee to process the transfer.** Please check with your custodian before sending us this IRA Transfer/Direct Rollover Form. Use the space below for the signature guarantee, if required.

Signature	Date	
		Medallion Signature Guarantee

Medallion Signature Guarantee Stamp and Signature (if required by your current custodian or transfer agent): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

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